PART B - FEE(S) TRANSMITTAL Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885 INSTRUCTION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 11/24/2006 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. DOUGLAS J TAYLOR GENERAL MILLS INC P O BOX 1113 MINNEAPOLIS, MN 55440 (Depositor's name) 02/28/2007 ZJUHAR2 00000071 070900 09233443 (Signature) 01 FC:1501 1400.00 DA (Date) APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/233,443 01/20/1999 BERNHARD H. VAN LENGERICH 7428 BVL-105 TITLE OF INVENTION: ENCAPSULATION OF SENSITIVE LIQUID COMPONENTS INTO A MATRIX TO OBTAIN DISCRETE SHELF-STABLE **PARTICLES** APPLN, TYPE SMALL ENTITY **ISSUE FEE DUE PUBLICATION FEE DUE** PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional \$1400 \$1400 02/26/2007 **EXAMINER** ART UNIT CLASS-SUBCLASS WEBMAN, EDWARD J 424-499000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list Douglas J. Taylor (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, 2 Barry I. Hollander (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3 John A. O'Toole 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignce is identified below, no assignce data will appear on the patent. If an assignce is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) General Mills, Inc. Minneapolis, Minnesota Please check the appropriate assignce category or categories (will not be printed on the patent): 🔲 Individual 😾 Corporation or other private group entity 🚨 Government 4a. The following fee(s) are submitted: 4b. Payment of Fcc(s): (Please first reapply any previously paid issue fee shown above) Issue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. Advance Order - # of Copies The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 07-0900 (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. □ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature _

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Taylor

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32,945

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TRANSMITTAL			Application Number	09/233,443		
			Filing Date	January 20, 1999 VAN LENGERICH, BERNHARD H.		
			First Named Inventor			
			Art Unit	1616		
(to be used for all correspondence after initial filing)			Examiner Name	Edward J. Webman		
Total Number of Pages in This Submission 4			Attorney Docket Number	5238USA02		
		ENCLOSUR	ES (check all that apply)			
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/decla Extension of Time R Express Abandonme Information Disclosu Certified Copy of Prindocuments Response to Missing	aration(s) lequest ent Request lire Statement ority g Parts/	Change of C Address Terminal Dis Request for I CD, Number	onvert to a Application orney, Revocation orrespondence claimer	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Issue Fee Return Postcard		
Firm Name Signature	ng Parts CFR 1.52 or	vc.	ICANT, ATTORNEY, O	RAGENT		

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Date

Typed or printed name Lisa M. Taylor

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32,945

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		Complete if Known										
Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				Application Number 09/233		09/233,443	3,443					
FEE T	ΔΙ	Filing Date	,	January 20, 1999								
		First Named Inv		VAN LENGERICH, BERNHARD H.								
F		Examiner Name	E	Edward J. Webman								
Applicant claims	1.27	Art Unit	1	1616								
TOTAL AMOUNT O		Attorney Docket	No.	5238USA02								
METHOD OF PAYMENT (check all that apply)												
Check Credit Card Money Order None Other (please identify):												
Deposit Account Deposit Account Number: <u>07-0900</u> Deposit Account Name: GENERAL MILLS, INC . For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)												
Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee												
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FEE CALCULA	TION		-									
1. BASIC FILING,	SEARCH, AND	EXAMINATION	ON FEES									
	FILIN	IG FEES	SEA	RCH FEES	EXAMI	NATION FEES						
Application Type	Eog (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	E00 (\$)	Small Entity	Fees Paid (\$)					
Utility	<u>Fee (\$)</u> 300	150	500	250	Fee (\$) 200	Fee (\$) 100	rees raid (\$)					
Design	200	100	100	50	130	65	<u></u>					
Plant	200	100	300	150	160	80 -						
Reissue	300	150	500	250	600	300						
Provisional	200	100	0	0	0	0 -						
2. EXCESS CLAIM FEES Fee Description Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues) Multiple dependent claims Small 1 Fee (\$) Fee 50 2 200 10 11 120 120 120 130 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150 150												
Total Claims	<u>Extra</u> 20 or HP =	<u>Claims</u> <u>I</u> x	=ee (\$) =	Fee Paid (\$)		<u>Multiple De</u> Fee (\$)	pendent Claims Fee Paid (\$)					
HP = highest number indep. Claims	er of total claims paid	for, if greater tha	in 20 Fee (\$)	Fee Paid (\$)								
3. APPLICATION : If the specification listings under:	on and drawings e	ims paid for, if gro exceed 100 shee the application the 35 U.S.C. 4	ts of paper (size fee due 11(a)(1)(G)	e is \$250 (\$125 fo	r small en 16(s).	led sequence or comutity) for each addit	ional 50					
		/ 50 =	inder or eac	_ (round up to a v	whole num	thereof Fee (\$) ber) x	<u>Fee Paid (\$)</u> =					
4. OTHER FEE(S)												
Non-English Specification, \$130 fee (no small entity discount) Other: Issue Fee 1400.00												
	<u></u>						1400.00					
SUBMITTED BY	$\overline{\Omega}$	<u> </u>	10	restion \$1- 00 045								
(Attorney/Agent)							Telephone 763-764-4402					
Name (Print/Type)	Douglas' J. Ta	flor ^y				I Date → ~	20067					

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